

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Continuation Patent Application Of:
Kenneth Kiron and Kevin S. Bander

Mailed: May 26, 2000

For: Open End Mutual Fund Securitization Process)

) Prior Application:
) Application No. 09/140,868
) Filed: August 27, 1998
) Art Unit: 2761
) Examiner: S. Tkacs
) Present Application:
) Examiner: Not Assigned
) Art Unit: Not Assigned



Box Patent Application
Fee
Commissioner For Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

The above-identified Application is a continuation of co-pending prior U.S. Application No. 09/140,868 filed August 27, 1998 which is a divisional of U.S. Application No. 08/542,431 filed October 12, 1995 now U.S. Patent No. 5,806,048. Before examination, please amend the instant Application as follows:

In the Specification:

On page 1, line 5 (of the Substitute Specification), please insert

-- This Application is a continuation of co-pending prior U.S. Application No. 09/140,868 filed August 27, 1998 which is a divisional of U.S. Application No. 08/542,431 filed October 12, 1995 now U.S. Patent No. 5,806,048.- -

In the Claims:

Please cancel Claims 19-51 and add the following Claims:

-- 52. An electronic data processing method for administering a financial product traded as a security comprising:

- a) creating a mutual fund comprising a plurality a selected portfolio of securities;
- b) receiving information on each of said one or more securities in the selected portfolio in an electronic data format;
- c) processing said information to determine in real time the price of the financial product on the basis of a user-defined method of weighting the selected portfolio of securities.

53. A method comprising the steps of: